



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Inventors: David Edward COOPER Art Unit: 2681  
Application No.: 10/787,242  
Filed: February 27, 2004  
For: DYNAMIC RESOURCE ALLOCATION IN PACKET DATA  
TRASFER

CLAIM FOR PRIORITY

Honorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

Sir:

The benefit of the filing date of the following prior foreign application filed in the following foreign country is hereby requested for the above-identified application and the priority provided in 35 USC 119 is hereby claimed:

United Kingdom Appln. No. 0307585.0, filed April 2, 2003.

In support of this claim, a certified copy of said original foreign application is filed herewith.

It is requested that the file of this application be marked to indicate that the requirements of 35 USC 119 have been fulfilled and that the Patent and Trademark Office kindly acknowledge receipt of this document.

Respectfully submitted,

James E. Ledbetter  
Registration No. 28,732

Date: June 21, 2004

JEL/spp

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In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

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7/77

**Statement of inventorship and of  
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THE PATENT OFFICE  
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Cardiff Road  
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NP10 8QQ

1. Your reference

0503

2. Patent application number  
(if you know it)

0307585.0

3. Full name of the or of each applicant

MATSUSHITA ELECTRIC INDUSTRIAL Co. Ltd.

4. Title of the invention

DYNAMIC RESOURCE ALLOCATION IN PACKET DATA TRANSFER

5. State how the applicant(s) derived the right  
from the inventor(s) to be granted a patent

BY VIRTUE OF THE EMPLOYMENT OF THE INVENTOR BY  
MATSUSHITA MOBILE DEVELOPMENT OF EUROPE LIMITED (MMCDE)  
AND AN AGREEMENT BETWEEN MMCDE AND THE APPLICANT

6. How many, if any, additional Patents Forms  
7/77 are attached to this form?  
(see note (c))

7.

I/We believe that the person(s) named over the page (and on  
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which the above patent application relates to.

Signature

*[Handwritten Signature]*

Date 31.3.2003

8. Name and daytime telephone number of  
person to contact in the United Kingdom

JAMES WHITE 01635 871466

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Enter the full names, addresses and postcodes of the inventors in the boxes and underline the surnames

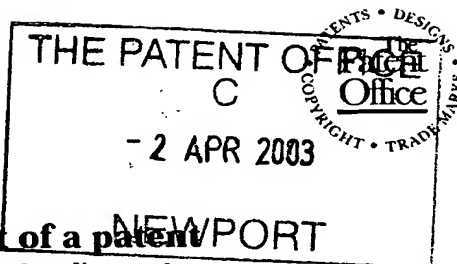
DAVID EDWARD <u>COOPER</u>	
OAK HOUSE	
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Patents ADP number (if you know it):	8042541002

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1/77  
02APR03 E797131-1 C91970  
P01/7700 0.00-0307585.0

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1. Your reference 0503
2. Patent application number 0307585.0 02 APR 2003  
(The Patent Office will fill in this part)
3. Full name, address and postcode of the or of each applicant (underline all surnames) MATSUSHITA ELECTRIC INDUSTRIAL Co. Ltd.  
1006 OAZA KADOMA  
KADOMA-SHI, OSAKA 571-8501  
JAPAN  
JAPANESE COMPANY 591842002  
Patents ADP number (if you know it)  
If the applicant is a corporate body, give the country/state of its incorporation
4. Title of the invention DYNAMIC RESOURCE ALLOCATION IN PACKET DATA TRANSFER
5. Name of your agent (if you have one) JAMES WHITE  
"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode) MATSUSHITA MOBILE COMMUNICATIONS DEVELOPMENT OF EUROPE LIMITED  
2 GABLES WAY, COLTHROP, THATCHAM, BERKS.  
Patents ADP number (if you know it) RG19 4ZB  
08516767001
6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number  
Country Priority application number (if you know it) Date of filing (day / month / year)
7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application  
Number of earlier application Date of filing (day / month / year)
8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:  
a) any applicant named in part 3 is not an inventor, or  
b) there is an inventor who is not named as an applicant, or  
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Description 10

Claim(s) 2

Abstract 1

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Statement of inventorship and right to grant of a patent (Patents Form 7/77) 2

Request for preliminary examination and search (Patents Form 9/77) 1

Request for substantive examination (Patents Form 10/77) 1

Any other documents (please specify)

11.

I/We request the grant of a patent on the basis of this application.

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*[Signature]*

Date 31. 3. 2003

12. Name and daytime telephone number of person to contact in the United Kingdom

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## **Dynamic resource allocation in packet data transfer**

This invention relates to multiple access communication systems and in particular it relates to dynamic resource allocation in time division multiple access systems.

In Multiple access wireless systems such as GSM, a number of mobile stations communicate with a network. The allocation of physical communication channels for use by the mobile stations is fixed. A description of the GSM system may be found in The GSM System for Mobile Communications by M. Mouly and M. B. Pautet, published 1992 with the ISBN reference 2-9507190-0-7.

With the advent of packet data communications over Time Division Multiple Access (TDMA) systems, more flexibility is required in the allocation of resources and in particular in the use of physical communication channels. For packet data transmissions in General Packet Radio Systems (GPRS) a number of Packet Data Channels (PDCH) provide the physical communication links. The time division is by frames of 4.615 ms duration and each frame has eight consecutive 0.577 ms slots. A description of the GPRS system may be found in (GSM 03.64 V 8.5 release 1999). The slots may be used for uplink or downlink communication. Uplink communication is a transmission from the mobile station for reception by the network to which it is attached. Reception by the mobile station of a transmission from the network is described as downlink.

In order to utilise most effectively the available bandwidth, access to channels can be allocated in response to changes in channel conditions, traffic loading Quality of service and subscription class. Owing to the continually changing channel conditions and traffic loadings a method for dynamic allocation of the available channels is available.

The amounts of time that the mobile station receives downlink or transmits uplink may be varied and slots allocated accordingly. The sequences of slots allocated for reception and transmission, the so-called multislot pattern is usually described in the form **RXTY**. The allocated receive (R) slots being the number X and the allocated transmit slots (T) the number Y. A number of multislot classes, one through to 29, is defined for GPRS operation and the maximum uplink (Tx) and downlink (Rx) slot allocations are specified for each class. The specification for multislot class 12 is shown in Table 1 below.

In a GPRS system, access to a shared channel is controlled by means of an Uplink Status Flag (USF) transmitted on the downlink to each communicating mobile station (MS). In GPRS two allocation methods are defined, which differ in the convention about which uplink slots are made available on receipt of a USF. The present invention relates to a particular allocation method, in which an equal number "N" of PDCH's, where a "PDCH" uses a pair of uplink and downlink slots corresponding to each other on a 1-1 basis, are allocated for potential use by the MS. The uplink slots available for actual use by a particular mobile station sharing the uplink channel are indicated in the USF. The USF is a data item capable of taking 8 values V0- V7, and allows uplink resources to be allocated

amongst up to 8 mobiles where each mobile recognises one of these 8 values as 'valid', i.e. conferring exclusive use of resources to that mobile. In the case of the extended dynamic allocation method, for example, reception of a valid USF in the slot 2 of the present frame will indicate the actual availability for transmission of transmit slots 2... $N$  in the next TDMA frame or group of frames, where  $N$  is the number of allocated PDCHs. Generally for a valid USF received at receiver slot  $n$ , transmission takes place in the next transmit frame at transmit slots  $n, n+1$  et seq. to the allocated number of slots ( $N$ ). For the extended dynamic allocation method as presently defined these allocated slots are always consecutive.

The mobile station is not able instantly to switch from a receive condition to a transmit condition or vice versa and the time allocated to these reconfigurations is known as turnaround time. As presently defined the turnaround time depends upon the class of mobile. A turnaround time of one slot is allocated in the case of class 12 mobiles such as are used for the exemplary embodiment. It is also necessary for the mobile station, whilst in packet transfer mode, to perform neighbourhood cell measurements. The mobile station has continuously to monitor all Broadcast Control Channel (BCCH) carriers as indicated by the BA(GPRS) list and the BCCH carrier of the serving cell. A received signal level measurement sample is taken in every TDMA frame, on at least one of the BCCH carriers. (GSM 05.08 10.1.1.2)

These neighbour cell measurements are taken prior to re-configuration from reception to transmission or prior to re-configuration from transmission to

reception. The number of slots allocated to each of these measurements and re-configurations for multislot class 12 is two.

Arising from the requirement to allocate particular slots for turnaround and measurement purposes, some restrictions occur and potential dynamic channel allocations are lost. These restrictions reduce the availability of slots for uplink transmissions; reduce the flow of data and reduce the flexibility of response to changing conditions.

An exhaustive technical review and wholesale change to the existing prescribed operating conditions might be expected to alleviate the problems associated with dynamic allocation. Whilst this is possible, the considerable difficulties caused by such wholesale change would be generally unwelcome and this resolution of the technical problem is unlikely.

There is a need therefore to provide a solution to the problems affecting dynamic channel allocation with minimal effect on existing prior art methods.

It is an object of this invention to reduce the restrictions affecting dynamic channel allocation with minimal effect on the existing prescript.

In accordance with the invention there is provided a method for controlling packet data transmissions as set out in the attached claims.

An embodiment of the invention will now be described with reference to the accompanying figures in which:

Figure 1 Illustrates the GPRS TDMA frame structure showing the numbering convention used for uplink and downlink timeslots,

Figure 2 illustrates a 3 slot allocation and a state transition from R3T0 to R3T2,

Figures 3 to 6 show 2 PDCH extended dynamic allocations in steady state for R2T0, R2T1 and R2T2 respectively with associated measurement and turnaround intervals,

Figure 6 is a state transition diagram for 2 PDCH extended dynamic allocations,

Figures 7 to 11 show the state transitions of figure 6,

Figure 12 to 15 show the 3 PDCH extended dynamic allocation in steady state,

Figure 16 is a state transition diagram for 3 PDCH extended dynamic allocation,

Figures 17 to 25 show the state transitions of figure 16,

Figures 26 to 30 show the steady state 4 slot extended dynamic allocation of the prior art,

Figures 31 to 35 show the steady state 4 slot extended dynamic allocation in accordance with the invention,

Figure 36 is a state transition diagram for 4 slot extended dynamic allocation in accordance with the invention,

Figures 37 to 50 show the state transitions of figure 36.

In this embodiment, the invention is applied to a GPRS wireless network operating in accordance with the standards applicable to multislot class 12. In figure 1 the GPRS TDMA frame structure is illustrated and shows the numbering convention used for uplink and downlink timeslots. It should be noted that in practice Tx may be advanced relative to Rx due to timing advance, although this is not shown in the illustration. Thus in practice the amount of time between the first Rx and first Tx of a frame may be reduced a fraction of a slot from the illustrated value of 3 slots due to timing advance. Two successive TDMA frames are illustrated with receiver (Rx) and transmitter (Tx) slots identified separately. The slot positions within the first frame are shown by the numerals 1 through to 8 with the transmission and reception slots offset by a margin of three slots. This is in accordance with the convention that that the first transmit frame in a TDMA lags the first receive frame by an offset of 3 (thus ordinary single slot GSM can be regarded as a particular case in which only slot 1 of transmit and receive is used)

The remaining figures (save for the state transition diagrams) conform to the illustration of figure 1 but the slot numbering has been removed for

extra clarity. The shaded slots are those allocated for the particular states and the arrowed inserts e.g. numerals **41** and **42** of figure 4 indicate the applicable measurement and turnaround intervals and number of slots allocated for these intervals. The hashed slots e.g. numeral **43** of figure 4 indicate reception of a valid USF. As mentioned above, constraints are imposed by the need to allow measurement and turnaround slots and the prescript for these in 05.02 Annex B limits dynamic allocation as shown in table 1 for the example of multislot class 12.

Table 1

Multislot class	Maximum number of slots			Minimum number of slots			
	Rx	Tx	Sum	$T_{ta}$	$T_{tb}$	$T_{ra}$	$T_{rb}$
12	4	4	5	2	1	2	1

$T_{ta}$  is the time needed for the MS to perform adjacent cell signal level measurement and get ready to transmit.

$T_{tb}$  is the time needed for the MS to get ready to transmit

$T_{ra}$  is the time needed for the MS to perform adjacent cell signal level measurement and get ready to receive.

$T_{rb}$  is the time needed for the MS to get ready to receive

It should be noted that in practice the times  $T_{ta}$  and  $T_{tb}$  may be reduced by a fraction of a slot due to timing advance.

The measurement period for extended dynamic allocation is specified (05.02 6.4.2.2) as  $T_{ra}$ . That is to say that all neighbour measurements are taken just before the first receive slot and not before the transmit slot.

If there are  $m$  timeslots allocated for reception and  $n$  timeslots allocated for transmission, then there must be  $\text{Min}(m,n)$  reception and transmission timeslots with the same timeslot number.

With reference to figure 2, an example of a 3 slot allocation, annotated **R3T0->R3T2**, is shown with no uplink slot allocated initially. A valid USF received on Rx slot 2 allows 2 TX slots on the next uplink frame. The annotation  $\rightarrow$  indicates a change of state.

The figures 3 to 5 show steady state extended dynamic allocations for 2 PDCH according to the annotations and the measurement and turnaround intervals are marked. Figure 6 is a state transition diagram for 2 PDCH extended dynamic allocations and shows all of the allowed states. Figures 7 through to 11 show the slot positions and applicable measurement and turnaround intervals for the transitions of figure 6.

Steady state 3 PDCH extended dynamic allocations are shown in figures 12 to 15. The state transitions for 3PDCH are shown in figure 16 and the corresponding slot positions and measurement and turnaround intervals in figures 17 to 25. It can be seen that for all of the illustrations no impediment to slot allocation arises from the application of the measurement and turnaround intervals.

With 4 slot extended dynamic allocations, however conflicts occur and the prescribed conditions do not permit implementation beyond the steady state **R4T0** case illustrated in figure 26. This is because the constraint  $T_{ra}=2$  for neighbour cell measurement cannot be applied since Tx slot 4 is always used, leaving only a single slot turnaround time before Rx slot 1. Examples



of allowed and prohibited 4 slot extended dynamic allocations in accordance with the prior art are shown in figures 26 to 30. These indicate steady states and the four receive slots and no transmit slot **R4T0** state of figure 26 is allowed. The allocations prohibited are overlaid by a "no entry" logo (e.g. numeral 301 of figure 30) in the illustrations of figure 27, **R4T1**, fig 28, **R3T2**, fig 29 **R2T3** and fig 30 **R1T4**. It can be seen that these prohibitions arise because of the limitation of one slot allowed for the measurement and preparation  $T_{ra}$  (the time needed to measure and then prepare for transmission)

In accordance with the invention there is re-allocation of measurement and recovery periods to increase the availability of uplink resources when uplink resources are otherwise constrained by prescribed allocations.

Application of the method in accordance with the invention provides for the previously prohibited allocations of figures 27 to 30 to be admitted as shown in figures 32 to 35. If  $N$  slots are allocated, and  $N+T_{ra}+3 \leq 8$  (number of slots in a frame), then  $T_{ra}$  is used as the measurement interval otherwise if  $N+T_{ra}+3 > 8$  .....(XX), then  $T_{ta}$  is used as the measurement interval;

where

$\leq$  less than or equal to

$>$  greater than

$T_{ta}$  is the time needed to measure and then prepare for transmission

Application of the method to the steady state **R4T1** is shown in figure 32,

With the number of PDCH's allocated  $N=4$ , the measurement and preparation interval  $T_{ra}=2$ ,  $N+T_{ra}+3>8$  ( $4+2+3=9$ ) therefore  $T_{ta}$  is used as the measurement interval. The impediment to operation shown in figure 27 is therefore removed by application of the method as illustrated in figure 32.

This procedure is implemented in the mobile station which when using the extended dynamic allocation method, and on receiving an allocation of PDCH numbering ' $N$ ', must perform the comparison above in order to time the radio link measurement procedure correctly.

The procedure performed by the network equipment is that when allocating a number of PDCHs ' $N$ ', it recognise that when  $N$  satisfies the condition (XX) above it must take into account the capability of the mobile station to perform measurements using  $T_{ta}$  and provided that:  
 $N+T_{rb}+3 \leq 8$ , is capable of allocating such a number of PDCHs.

The method may be applied successfully to the remaining steady states shown in figures 33, 34 and 35. Furthermore the method is effective for all of the 4 slot state transitions shown in the state transition diagram figure 6. Illustrations of the 4 slot state transitions are given in figures 37 through to 50.

## Claims

1. A method for controlling packet data transmissions in a TDMA communications system wherein transmitters and receivers share channel resources dynamically for uplink and downlink operating periods and where allocations of measurement and recovery periods between uplink and downlink periods and between downlink and uplink periods are prescribed characterised by re-allocation of measurement periods to increase the availability of uplink resources when uplink resources are otherwise constrained by prescribed allocations of measurement periods.
2. A method as in claim 1 where the time division for access is by consecutive frames of eight slots
3. A method as in claim 1 or claim 2 in which the communications system is a General Packet Radio System
4. A method as in claims 1 to 3 where the measurement period is re-allocated from a conjunct with a period for preparation for reception  $T_{ra}$  to a conjunct with a period for preparation for transmission  $T_{ta}$ .
5. A method as in any preceding claim where the multislot class of operation is class 12
6. A method as in any preceding claim where the measurement period re-allocated is a neighbour cell signal level measurement period.

7. A method as in any preceding claim where if  $N$  slots in total are allocated for both uplink and downlink and  $N+T_{ra}+3 \leq 8$ , then measurement and recovery period  $T_{ra}$  is allocated whereas if  $N+T_{ra}+3 > 8$ , then measurement and recovery period  $T_{ta}$  is allocated.

8. A mobile station operating extended dynamic allocation within a GPRS system and in which on receipt of an allocation of  $N$  packet data channels computes a value  $N + T_{ra}+3$  and uses the measurement and recovery period  $T_{ra}$  for values not greater than 8 or the measurement and recovery period  $T_{ta}$  for values greater than 8.

9. A base station operating with a mobile station in a GPRS system wherein base station allocation of a number  $N$  of packet data channels depends upon fulfilment of the conditions  $N+T_{ra}+3 > 8$  and  $N+T_{rb}+3 \leq 8$  in respect of the mobile station, where  $T_{rb}$  is the time needed for the mobile station to get ready to receive.

**ABSTRACT****Dynamic resource allocation in packet data transfer**

A method for control of packet data transmissions in a TDMA wireless network to provide for additional choices in the allocation of communication channels. Measurement and recovery periods are re-assigned to avoid conflicts in operating conditions. The re-assignments for the GPRS system may be reduced to a simple formula.

(figs 31 to 35)



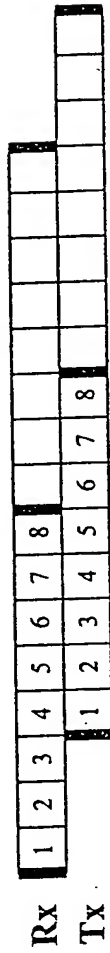
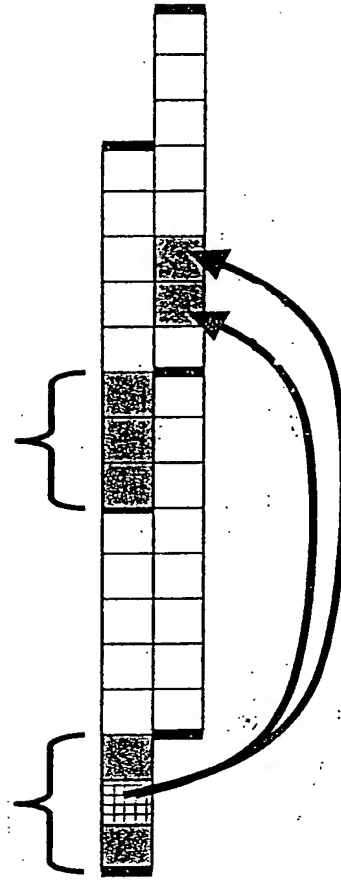


FIGURE 1

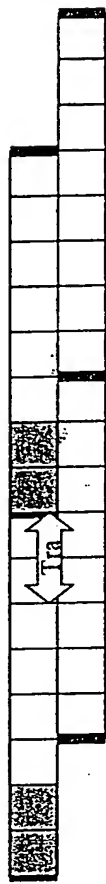


R3T0-> R3T2

FIGURE 2

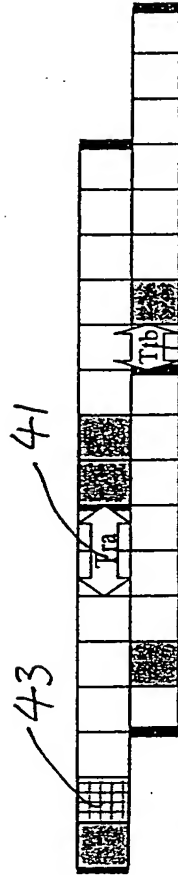






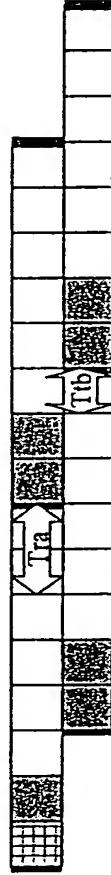
R2T0

FIGURE 3



R2T1

FIGURE 4



R2T2

FIGURE 5



FIGURE 7  
R2T1-> R2T2

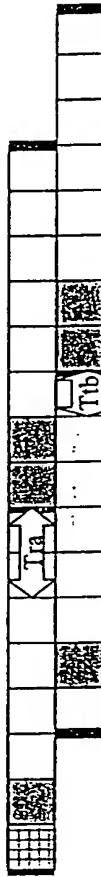


FIGURE 8  
R2T1-> R2T0

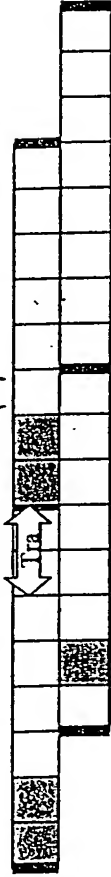


FIGURE 9  
R2T2-> R2T0

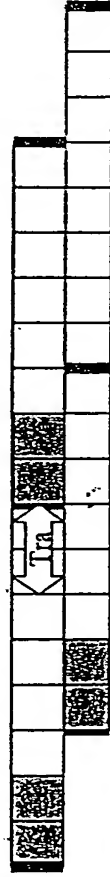


FIGURE 10  
R2T0-> R2T1

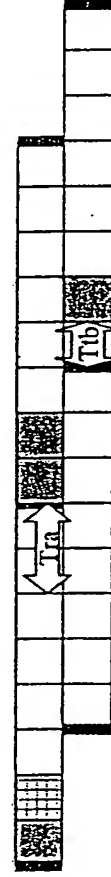


FIGURE 11  
R2T0-> R2T2

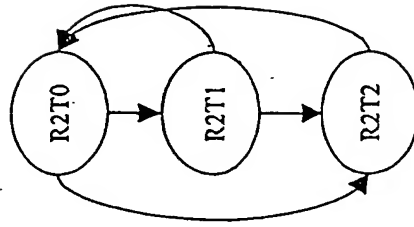
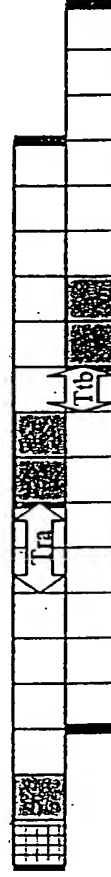
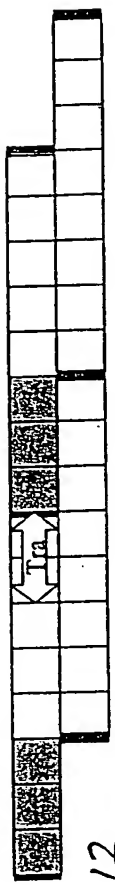
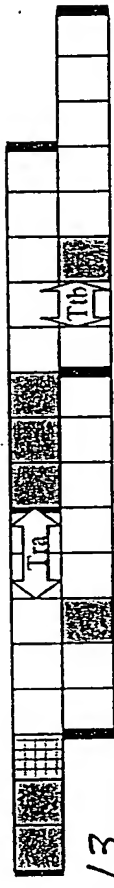


FIGURE 6

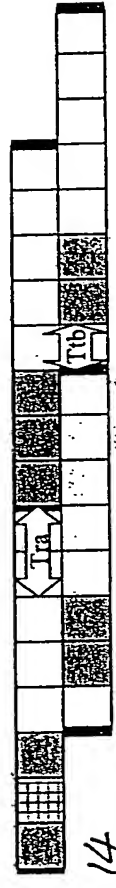




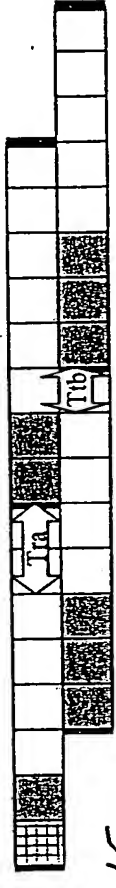
R3T0  
FIGURE 12



R3T1  
FIGURE 13

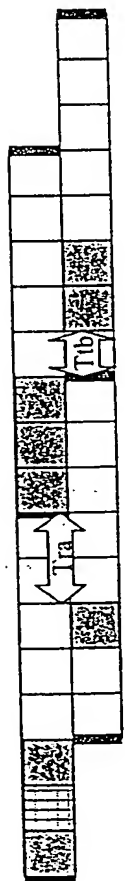


R3T2  
FIGURE 14

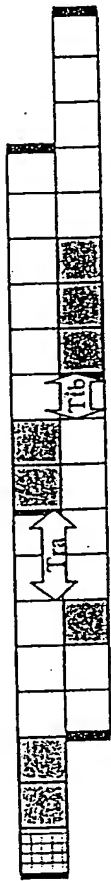


R2T3  
FIGURE 15

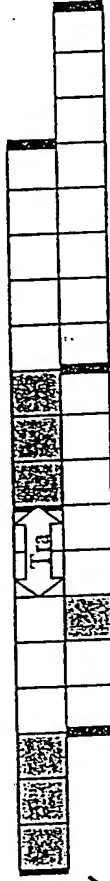




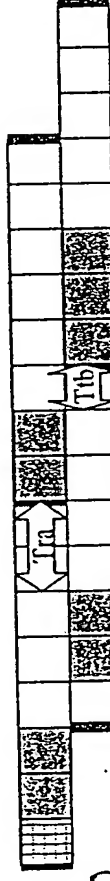
R3T1->R3T2  
FIGURE 17



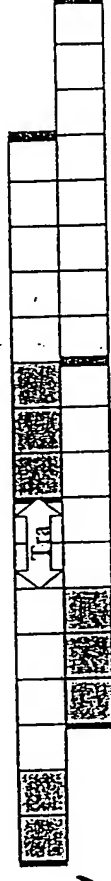
R3T1->R2T3  
FIGURE 18



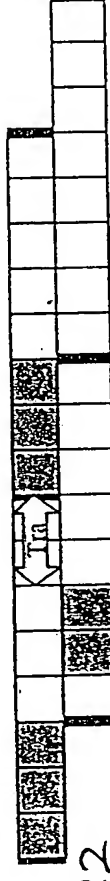
R3T1->R3T0  
FIGURE 19



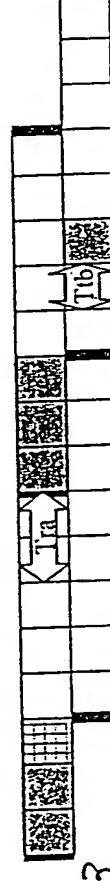
R3T2->R2T3  
FIGURE 20



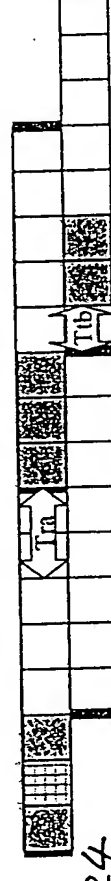
R2T3->R3T0  
FIGURE 21



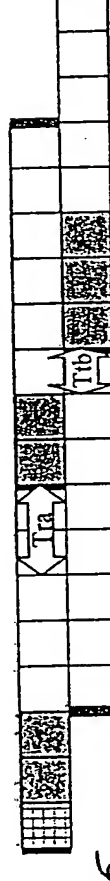
R3T2->R3T0  
FIGURE 22



R3T0->R3T1  
FIGURE 23



R3T0->R3T2  
FIGURE 24



R3T0->R2T3  
FIGURE 25

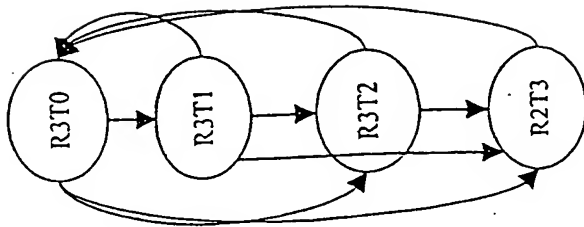


FIGURE 16





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R4T0

FIGURE 26



R4T1

FIGURE 27



R3T2

FIGURE 28



R2T3

FIGURE 29

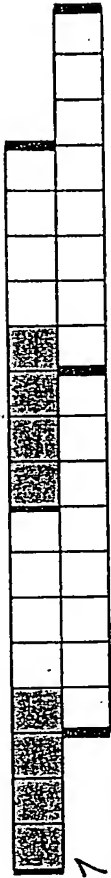


R1T4

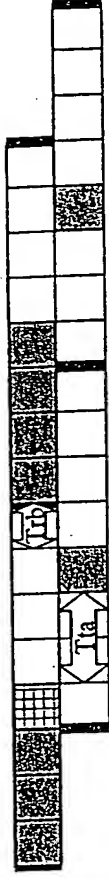
FIGURE 30

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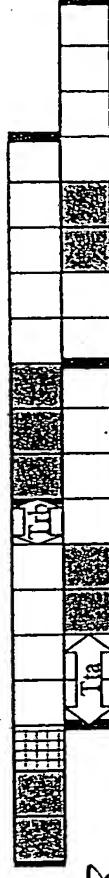




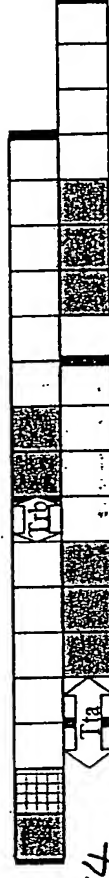
R4T0  
FIGURE 31



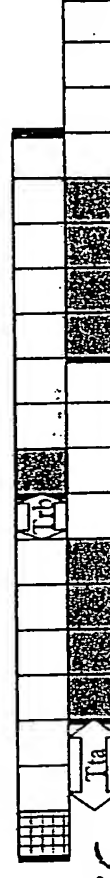
R4T1  
FIGURE 32



R3T2  
FIGURE 33

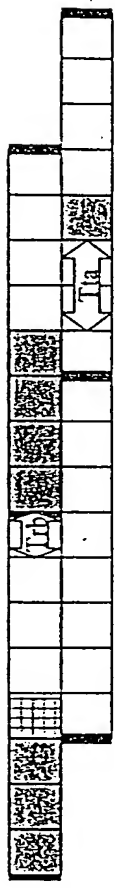


R2T3  
FIGURE 34

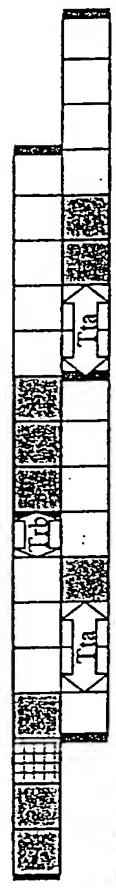


R1T4  
FIGURE 35

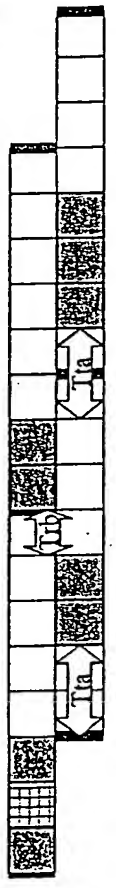




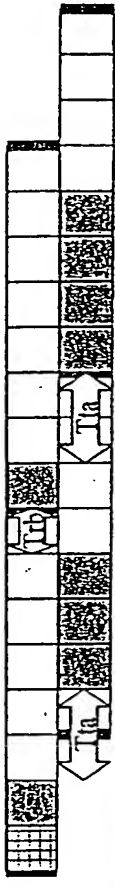
R4T0-> R4T1  
FIGURE 37



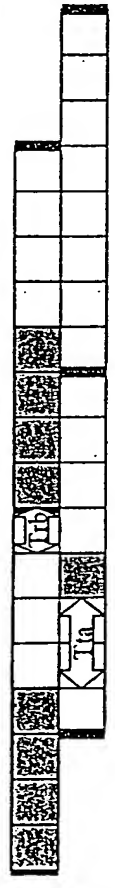
R4T1-> R3T2  
FIGURE 38



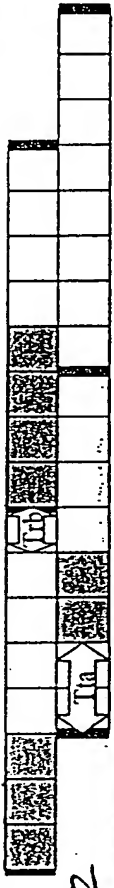
R3T2-> R2T3  
FIGURE 39



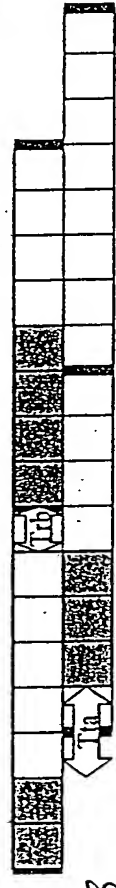
R2T3-> R1T4  
FIGURE 40



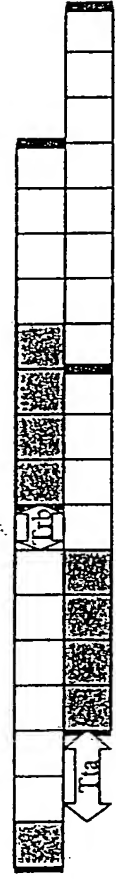
R4T1-> R4T0  
FIGURE 41



R3T2-> R4T0  
FIGURE 42



R2T3-> R4T0  
FIGURE 43



R1T4-> R4T0  
FIGURE 44

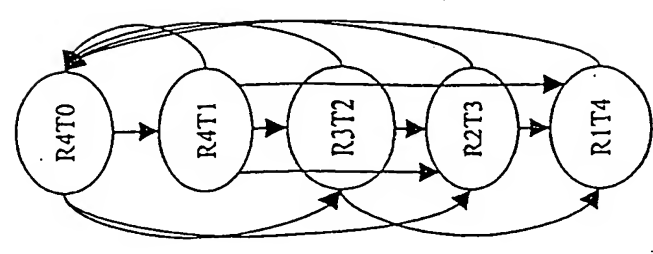
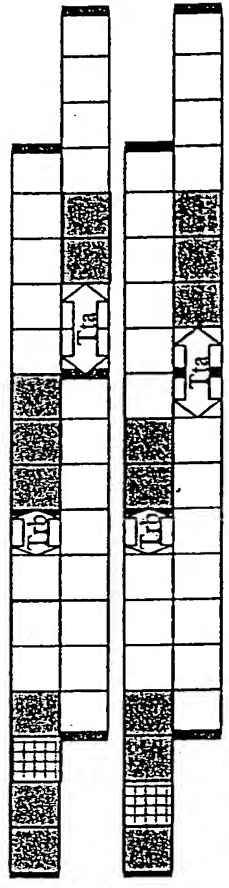


FIGURE 36

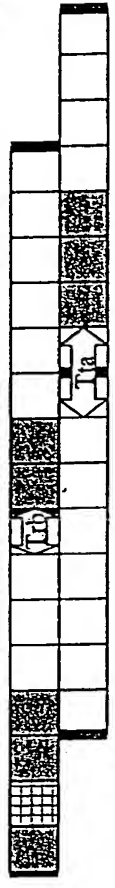


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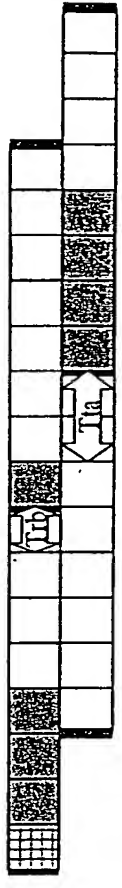
R4T0->R3T2  
FIGURE 45



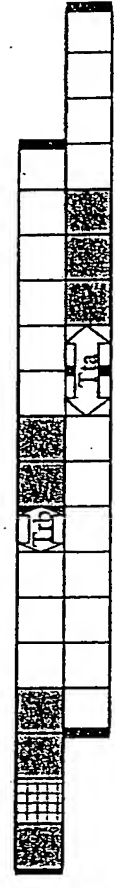
R4T0->R2T3  
FIGURE 46



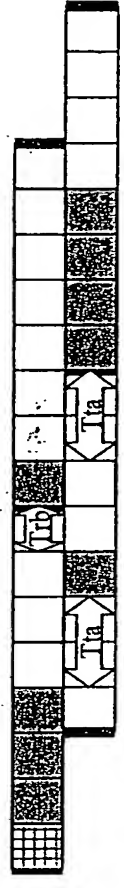
R4T0->R1T4  
FIGURE 47



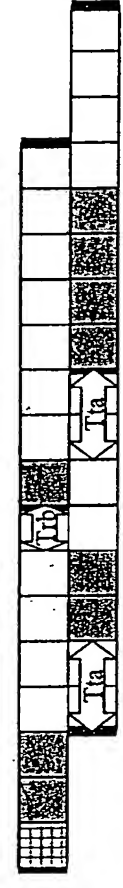
R4T0->R2T3  
FIGURE 48



R4T1->R1T4  
FIGURE 49



R3T2->R1T4  
FIGURE 50



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